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17681 U.S. PTO  
040804

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. 9031R  
First Inventor  
Assignee The Procter & Gamble Company  
Title Tooth Whitening Products  
Express Mail Label No. EV249878678US

19270 U.S. PTO  
101820590

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

Mail Stop Patent Application  
ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [21]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC §113) Total Sheets [3]
4. Oath or Declaration Total pages ☐
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 complete)
    - i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.63(d)(2) and 1.33(b).
5. ☐ Application Data Sheet. See 37 CFR §1.76

6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449/SB08 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
16. ☐ Other: .....

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 10/659,100

Prior application information: Examiner: \_\_\_\_\_ Art Unit: 1614

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number (Insert Customer No. here)  
27752

Name (Print/Type)	James C. Vago	Registration No. (Attorney/Agent)	40,855
Signature	Date		April 8, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Revised for P&G use 8/28/2003)

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



# FEE TRANSMITTAL

## for FY 2004

Patent fees are subject to annual revision.

### Complete if Known

Application Number	
Confirmation Number	
Filing Date	April 8, 2004
First Named Inventor	
Examiner Name	
Art Unit	
Attorney Docket No.	9031R

TOTAL AMOUNT OF PAYMENT (\$770.00)

### METHOD OF PAYMENT

1. ☒ The Director is hereby authorized to charge indicated fees submitted on this form, credit any over payments, and charge any additional fee(s) during the pendency of this application to:

Deposit Account Number: 16-2480

Deposit Account Name: The Procter &amp; Gamble Company

### FEE CALCULATION

#### 1. BASIC FILING FEE - Large Entity

Code (\$)	Fee Description	Fee Paid
1001 770	Utility filing fee	[770]
1002 340	Design filing fee	
1004 770	Reissue filing fee	
1005 160	Provisional filing fee	
SUBTOTAL (1)		(\$)[770]

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE - Large Entity

		Extra	Fee from	Fee
		Claims	Below	Paid
Total Claims	[16] - 20** =	[0] x		= [0]
Independent Claims	[2] - 3** =	[0] x		= [0]
Multiple Dependent				= [0]

\*\* or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
1202 18	Claims in excess of 20
1201 86	Independent claims in excess of 3
1203 290	Multiple dependent claim, if not paid
1204 86	**Reissue independent claims over original patent
1205 18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$)[0]

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Code (\$)	Fee Description	Fee Paid
1051 130	Surcharge-late filing fee or oath	
1052 50	Surcharge-late provisional filing fee or cover sheet	
1053 130	Non-English specification	
1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	Requesting publication of SIR prior to Examiner's action	
1805 1,840*	Requesting publication of SIR after Examiner's action	
1251 110	Extension for reply within 1 <sup>st</sup> month	
1252 420	Extension for reply within 2 <sup>nd</sup> month	
1253 950	Extension for reply within 3 <sup>rd</sup> month	
1254 1,480	Extension for reply within 4 <sup>th</sup> month	
1255 2,010	Extension for reply within 5 <sup>th</sup> month	
1401 330	Notice of Appeal	
1402 330	Filing a brief in support of an appeal	
1403 290	Request for oral hearing	
1451 1,510	Petition to institute a public use proceeding	
1452 110	Petition to revive - unavoidable	
1453 1,330	Petition to revive - unintentional	
1501 1,330	Utility issue fee (or reissue)	
1502 480	Design issue fee	
1460 130	Petitions to the Commissioner	
1807 50	Processing fee under 37 C.F.R. 1.17(q)	
1806 180	Submission of Information Disclosure Statement	
1809 770	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	Request for Continued Examination (RCE)	
1802 900	Request for expedited examination of a design application	
1454 1330	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	
	Other fee (specify) _____	
	Other fee (specify) _____	

\* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$)[0]

### SUBMITTED BY

Name (Print/Type)	James C. Vago	Registration No.	40,855	Complete (if applicable)	Telephone	(513) 622-4433
Signature		(Attorney/Agent)		Date	April 8, 2004	

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.